

Useful information about your Notice of Security Cession Form



When to use this form

This form is to be used when you wish for a Security Cession to be noted on an investment.

Contact details

Email: admin@ppsinvestments.co.za

Tel: 0860 468 777 (0860 INV PPS)

Fax: 021 680 3680

Website: www.pps.co.za/invest

Cut off and timelines

- All complete and valid instructions received **before 14:00** on a business day will be processed on the **same day**.
- Complete and valid instructions received **after 14:00** will be processed on the **next business day**.
- Investments will be processed and finalised within a maximum of **five (5) business days**.

Useful information

Please refer to our website www.ppsinvestments.co.za for:

- Product brochures and key benefits.
- Fund fact sheets (Minimum Disclosure Documents) for each of the available Investment Option(s).
- Financial Intelligence Centre Act (FICA) requirements.
- The Effective Annual Cost measure (EAC) is an industry-wide disclosure standard. It can be used by investors and financial advisers to compare charges on most retail investment products, and their impact on investment returns, so that investors are placed in a better position to make informed decisions around investment choices. The EAC is a measure of the charges that an investor will likely incur in purchasing and holding a financial product, and does not attempt to measure the features of a financial product.
- The latest Product Terms, Conditions and Declarations.
- You can manage your investment, view balances, transact and download tax certificates on the PPS Investments Secure Online Services website (www.ppsisecure.co.za) or the PPS for Professionals mobile app for IOS or Android.

Consider getting financial advice

PPS Investments does not provide financial advice. However, we believe in the merits of good financial advice. If you are not comfortable making your own investment decisions, consider using the services of a PPS Investments accredited financial adviser.

Document checklist and supporting documents

Please send through these documents together with your Notice of Security Cession Form to admin@ppsinvestments.co.za or fax 021 680 3680:

Resolution of trustees or authorised signatories, if the cedent is a company/trust.

Resolution of trustees/directors appointing authorised signatories, if the cessionary is a company/trust.

List of authorised signatories, if the cessionary is a bank.

NOTICE OF SECURITY CESSION FORM



PROFESSIONAL PROVIDENT SOCIETY INVESTMENTS PROPRIETARY LIMITED ("PPS INVESTMENTS")
CLIENT SERVICE CENTRE CONTACT DETAILS
TEL: 0860 468 777 (0860 INV PPS)
EMAIL: admin@ppsinvestments.co.za

FAX: 021 680 3680
WEBSITE: www.pps.co.za/invest

Please apply the Security Cession as indicated below to the following investment number (POL/INV)

A PERSONAL DETAILS OF CEDENT (current investor)

Title, full name and surname/Entity name

ID number/Registration number

B PERSONAL DETAILS OF CESSIONARY

Title, full name and surname/Entity name

ID number/Registration number

Country of birth

Country where passport issued

Gender Male Female

Nationality South African Other (Please specify)

Occupation

Physical address

Postal code

Postal address

Postal code

Telephone number (home)

Telephone number (work)

Cellphone number

Fax

Email address
(Compulsory)

C DETAILS OF CESSION

We, the above mentioned cedent and cessionary, request PPS Investments to note a Security Cession on the investment quoted above. We acknowledge that this Security Cession is subject to the Terms and Conditions set out below.

Signature of Cedent/Person acting on behalf of Cedent

Date

Signature of Cessionary/Person acting on behalf of Cessionary

Date

D TERMS AND CONDITIONS OF SECURITY CESSION

- PPS Investments will only note this cession once the cedent and the cessionary have completed and signed this form, returned it to PPS Investments and complied with the Terms and Conditions in this form.
- The cedent must ensure that the investment can be ceded and that the investment is not subject to a cession in favour of another party.
- PPS Investments does not take any responsibility for and is indemnified against, any loss or damages suffered by any party, due to any breach of the Terms and Conditions and/or PPS Investments acting in accordance with this notice, including but not limited to any loss or damages suffered as a result of any taxes that may be payable.
- PPS Investments does not ask for or keep copies of the cession agreement and does accept responsibility for its validity.
- PPS Investments will not be responsible to any party for any shortfalls in the market value of the ceded units and does not guarantee investment returns. The value of units may fluctuate due to market conditions. Past performance is not necessarily a guide to future performance.
- While the cession remains in force, the cedent may not withdraw, transfer or switch the ceded units without written consent of the cessionary. If the cessionary agrees to a transaction, the cedent and cessionary will need to complete the documents required by PPS Investments for the transaction to be processed.
- Should the cessionary request that the ceded units be withdrawn, PPS Investments is obliged to sell the ceded units and pay the cessionary the amount required under this cession. PPS Investments is not required to notify the cedent or obtain the cedent's consent beforehand.
- Transactions on ceded units will take longer than the standard turnaround times specified in the Terms and Conditions applicable to the investment.

PPS Investments (Pty) Ltd, PPS Multi-Managers (Pty) Ltd, PPS Investment Administrators (Pty) Ltd and PPS Insurance Company Ltd are licensed financial services providers. Coronation Life Assurance Company Limited is registered in terms of the Long Term Insurance Act 52 of 1998 to carry on long term insurance business. PPS Management Company (RF) (Pty) Ltd is a licensed collective investment scheme manager. PPS Nominees (Pty) Ltd is an independent nominee company approved by the Financial Sector Conduct Authority.

PPS House, Boundary Terraces, 1 Mariendahl Lane, Newlands, 7700
Website: www.pps.co.za/invest Email: clientservices@ppsinvestments.co.za